

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re patent application of:

J. Stewart YOUNG

Serial No. 10/695,068

Filed October 28, 2003

MULTI-AXIAL, CROSS-LINK CONNECTOR
SYSTEM FOR SPINAL IMPLANTS

)
)
) Before the Examiner
) Not yet Known
)
) Group Art Unit
) 3738
)
) Submitted
) March 15, 2004

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to the duty of disclosure in accordance with 37 CFR §1.56, Applicant wishes to bring to the attention of the Examiner the patents, publications and/or other information listed on the attached PTO Form 1449 (modified). Since this application was filed after July 30, 2003, only a copy of the published PCT application is enclosed in accordance with 37 CFR §1.98.

The filing of this Information Disclosure Statement shall not be construed as an admission that the information cited is, or is considered to be, material to patentability as defined in §1.56(b).

This Statement is being submitted more than three (3) months after the filing date of the application but before receipt of the first Office Action. It is believed that no fee is required for consideration of the submitted items. Should any fee be required, however, please charge such fee to Deposit Account No. 23-3030, but not to include any payment of issue fees.

I hereby certify that this correspondence is being deposited with the United States Postal Service as **first class mail** in an envelope addressed to the Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.

March 15, 2004

(Date of Deposit)

James B. Myers, Jr.

Name of Registered Representative

James B. Myers Jr.
SignatureMarch 15, 2004

Date of Signature

Respectfully submitted,

By: James B. Myers Jr.

James B. Myers Jr., Reg. No. 42,071

Woodard, Emhardt, Moriarty, McNett & Henry LLP

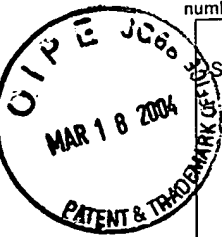
3700 Bank One Center Tower

111 Monument Circle

Indianapolis, IN 46204-5137

(317) 634-3456

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number



Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				<i>Complete if Known</i>	
				Application Number	10/695,068
				Filing Date	October 28, 2003
				First Named Inventor	J. Stewart YOUNG
				Group Art Unit	3738
				Examiner Name	
Sheet	1	of	2	Attorney Docket No.	4002-3432

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Application of Cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (If known)			
		4,085,744	04-25-1978	Lewis et al.	
		4,404,967	09-20-1983	Bacal et al.	
		4,611,582	09-16-1986	Duff	
		5,005,562	04-09-1991	Cotrel	
		5,024,213	06-18-1991	Asher et al.	
		5,108,395	04-28-1992	Laurain	
		5,147,360	09-15-1992	Dubousset	
		5,154,718	10-13-1992	Cozad et al.	
		5,261,907	11-16-1993	Vignaud et al.	
		5,368,594	11-29-1994	Martin et al.	
		5,439,463	08-08-1995	Lin	
		5,443,465	08-22-1995	Pennig	
		5,549,607	08-27-1996	Olson et al.	
		5,651,789	07-29-1997	Cotrel	
		5,667,507	09-16-1997	Corin et al.	
		5,702,393	12-30-1997	Pfaifer	
		5,707,372	01-13-1998	Errico et al.	
		5,709,684	01-20-1998	Errico et al.	
		5,716,355	02-10-1998	Jackson et al.	
		5,752,955	05-19-1998	Errico	
		5,885,284	03-23-1999	Errico et al.	
		5,947,966	09-07-1999	Drewry et al.	
		5,980,523	11-09-1999	Jackson	
		6,077,263	06-20-1980	Ameil et al.	

Examiner Signature	Date Considered
--------------------	-----------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.



004002-003432.JBM.269917

PTO/SB/08A (12/01)

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
				Application Number	10/695,068
				Filing Date	October 28, 2003
				First Named Inventor	J. Stewart YOUNG
				Group Art Unit	3738
Examiner Name					
Sheet	2	of	2	Attorney Docket No.	4002-3432

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Application of Cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		6,113,600	09-05-2000	Drummond et al.	
		6,136,003	10-24-2000	Van Hoeck et al.	
		6,217,578	04-17-2001	Crozet et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Application of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
		WO 96/39090	12-12-1996			

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

INFORMATION DISCLOSURE CITATION LIST